



Rev. 12/09/04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Quanxi Jia

Docket No.: S-97,819

Serial No.: 10/615,645

Examiner:

Filed : 7/8/2003

Art Unit:

For : METHOD FOR PREPARATION OF SEMICONDUCTIVE FILMS

Mail Stop Petition  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO ESTABLISH PRIOR RECEIPT IN THE P.T.O. OF ITEMS CONSIDERED AS OMITTED  
BY THE P.T.O. - RESPONSE TO

☐ "NOTICE OF OMITTED ITEMS"  
☒ "NOTICE OF INCOMPLETE APPLICATION"

NONPROVISIONAL APPLICATION

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service  
on the date shown below with sufficient postage as  
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Commissioner for Patents, P. O. Box 1450,  
Alexandria, VA 22313-1450.

FACSIMILE

☐ transmitted by facsimile to the  
United States Patent and Trademark  
Office

*Bruce H Cottrell*

Signature

Date July 20, 2005

Bruce H. Cottrell  
(type or print name of person certifying)

07/25/2005 MAHME1 00000030 122150 10615645

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1. This is in response to the

- ☐ "NOTICE OF OMITTED ITEMS"  
☒ "NOTICE OF INCOMPLETE APPLICATION"

mailed for this application on May 20, 2005.

- ☐ A copy of the  
☐ "NOTICE OF OMITTED ITEMS"  
☒ "NOTICE OF INCOMPLETE APPLICATION"  
is enclosed.

2. Applicant asserts that the items indicated as omitted were in fact deposited with the P.T.O. with the original application papers filed on July 8, 2003.

3. In accordance with the requirements of the Notice of June 5, 1996, 61 Fed. Reg. 30,401-30,406, (see MPEP § 601.01(d), 7<sup>th</sup> Edition) applicant hereby:

- A. Petitions under 37 section 1.53(e) for a review of the determination that the items in issue were omitted;  
B. Submits the petition fee under 37 section 1.17(f) and  
C. Submits the attached evidence of the deposit of the items in issue.

4. Fee Payment

- ☒ Authorization is hereby made to charge the amount of \$400.00 to Deposit Account No. 12-2150.  
☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A Duplicate of this paper is attached.

5. Request for Refund

It is respectfully requested that, upon grant of the petition under 37 section 1.53(e), the petition fee be refunded by

- ☒ a credit to the charge authorized above.

6. Provisional Request for Filing Date As of This Date of Submission of Omitted Items in Issue-Provisional Petition under 37 section 1.182.

In the event that the Petition to Establish Prior Receipt in the P.T.O. of Omitted Items in issue does not result in a decision by the P.T.O. that the omitted items in issue were indeed received by the P.T.O. on the original filing date for this application, applicant hereby:

- (a) submits the omitted item(s) listed on the
- ☐ "NOTICE OF OMITTED ITEMS"
- ☒ "NOTICE OF INCOMPLETE APPLICATION"
- (b) submits a declaration in compliance with 37 section 1.63 and 1.64 referring to such omitted item(s);
- (c) petitions under 37 section 1.182 to accept the date of this submission as the application filing date; and
- (d) submits the petition fee under 37 section 1.182
7. Petition Fee for Provisional Petition under 37 section 1.182  
The fee under 37 section 1.17(h) is paid as follows:  
☐ Attached is a ☐ check ☐ money order in the amount of \$130.00
- ☐ Authorization is hereby made to charge the amount of \$130.00  
☐ to Deposit Account No. 12-2150
- Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.
- A duplicate of this paper is attached.
8. Request for Refund of Provisional Petition fee under 37 section 1.182.  
Upon grant of the petition under 37 section 1.539e) and the determination by the P.T.O. that the omitted items in issue were filed with the original papers filed for this application, applicant respectfully requests that the petition fee under 37 section 1.182 be refunded by:
- ☐ a credit to the charge authorized above.

Respectfully submitted,

Date: July 20, 2005

Bruce H Cottrell  
Signature of Attorney

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